



06-15-04

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SMX 3145.1 (2002-037R1)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Jason Wells  
Art Unit Not Yet Assigned  
Serial No. 10/769,546  
Filed January 30, 2004  
Confirmation No. Not Yet Assigned  
For MICROFILTRATION DEVICE AND METHOD FOR WASHING AND  
CONCENTRATING SOLID PARTICLES

June 14, 2004

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

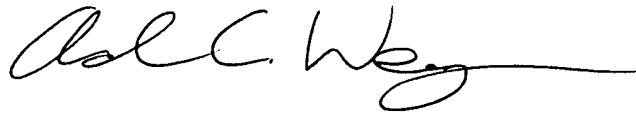
In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant submits the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the OG notice of August 5, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97(b) in that applicant believes that it is being filed prior to the mailing date of the first Office action on the merits. Accordingly, neither a statement nor fee under 37 C.F.R. §1.97(c) or (d) is required.

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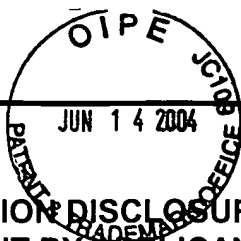
Applicant hereby authorizes the Commissioner to charge  
Deposit Account No. 19-1345 for any fees incurred in connection  
with this Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Andrew C. Wegman", with a long horizontal flourish extending to the right.

Andrew C. Wegman, Reg. No. 54,530  
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(314) 231-5400

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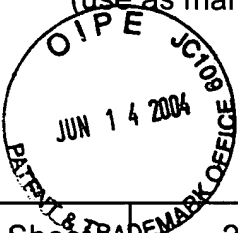
<b>PTO/SB/08A</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/769,546
				Filing Date	January 30, 2004
				Confirmation Number	Not Yet Assigned
				First Named Inventor	Jason Wells
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket No.	SMX 3145.1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	3,630,360		Davis, et al.	12-28-1971
	2	4,130,485		Dyer, et al.	12-19-1978
	3	4,222,870		Sternberg, et al.	09-16-1980
	4	4,623,631		Kostinko	11-18-1986
	5	4,862,753		Lovette, et al.	09-05-1989
	6	4,980,066		Slegers	12-25-1990
	7	5,006,253		Slegers	04-09-1991
	8	5,108,704		Bowers, et al.	04-28-1992
	9	5,919,721		Potter	07-06-1999
	10	6,063,633		Willson, III	05-16-2000
	11	6,077,422		Ryles	06-20-2000
	12	6,139,724		Strohm, et al.	10-31-2000
	13	6,159,368		Moring, et al.	12-12-2000
	14	6,274,088	B1	Burbaum, et al.	08-14-2001
	15	6,299,668	B1	Penth, et al.	10-09-2001
	16	6,342,185	B1	Dahl, et al.	01-29-2002
Examiner Signature				Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached.

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<b>PTO/SB/08A</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  				<b>Complete if Known</b>	
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				First Named Inventor	Jason Wells
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet 2 of 2	Attorney Docket No. SMX 3145.1				

	17	6,368,865	B1	Dahl, et al.	04-09-2002
	18	6,423,537	B1	Soria, et al.	07-23-2002
	19	6,677,162	B1	Wendelbo, et al.	01-13-2004

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
	20	WO	96/11878	A1	Schultz, et al.	04-25-1996	
	21	WO	98/03521	A1	Weinberg, et al.	01-29-1998	
	22	WO	01/80981	A1	Noack	11-01-2001	A
	23	WO	02/04121	A2	Lugmair, et al.	01-17-2002	
	24	WO	02/06792	A2	Wendelbo, et al.	01-24-2002	
	25	WO	02/26380	A1	Clem, et al.	04-04-2002	

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature		Date Considered	
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